United Nations Children's Fund UNICEF P.O. Box 4884 PRETORIA South Africa

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Facsimile
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### REQUEST FOR PROPOSAL FOR SERVICES

LRPS-2021-9171951

**25 November 2021** 

# **UNITED NATIONS CHILDREN'S FUND (UNICEF)**

# Wishes to invite you to submit a proposal for

A study on knowledge, attitudes and practice (KAP) of parents/primary caregivers with children birth-six years old regarding the importance of play in early learning.

Proposals should be sent to: saf-ppc@unicef.org

IMPORTANT - ESSENTIAL INFORMATION

UNITED NATIONS CHILDREN'S FUND (UNICEF) in Pretoria, South Africa wishes you to submit proposals for the above-mentioned services. Properly emailed and completed proposals will be received at UNICEF Pretoria, until 23H59 on Thursday, 9 December 2021.

#### CONFIDENTIAL ELECTRONIC PROPOSALS

Full proposals should be submitted in ENGLISH and must be received not later than 23H59 on Thursday, 9 December 2021 in electronic PDF version, duly signed and dated and sent to saf-ppc@unicef.org.

Offers must be clearly marked with UNICEF bid reference LRPS-2021-9171951. Late bids will not be accepted. Bidders are requested to ensure that the submission instructions, as stipulated in this document, are adhered to. Failure to do so will result in disqualification of proposals.

Please note that UN Financial Rules and Regulations do not permit prepayment such as COD or LC. The standard payment terms are net 30 days from the receipt of the invoice and proof of delivery.

For queries please contact Nicole Anthoo by email: nanthoo@unicef.org Cc Kaitswe Bruce Mathebe at kmathebe@unicef.org



Kaitswe Mathebe

# THIS REQUEST FOR PROPOSAL FOR SERVICES HAS BEEN:

Prepared By:	
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	Date: 251112021
Nicoleen Anthoo	<del>-     -  </del>
(To be contacted for additional information, NOT FOR SENDING	G PROPOSALS)
Email: nanthoo@unicef.org	,
<b>G</b>	
Approved By:	
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Mar 18	Date: 25/11/2021



### REQUEST FOR PROPOSAL FOR SERVICES FORM

This FORM must be completed, signed and returned to UNICEF. Proposal must be made in accordance with the instructions contained in this Request for Proposal for Services (RFPS).

# TERMS AND CONDITIONS OF CONTRACT

Any Contract resulting from this RFPS shall contain UNICEF General Terms and Conditions for Institutional and Corporate Contracts and any other Specific Terms and Conditions detailed in this RFPS.

# **INFORMATION**

Any request for information regarding this RFPS must be forwarded by email to the person who prepared this document, with specific reference to the RFPS number.

The Undersigned, having read the Terms and Conditions of RFPS No. **LRPS-2021-9171951** set out in the attached document, hereby offers to execute the services specified in this document.

Signature:				
Date:				
Name & Title:				
Company:				
Postal Address:				
Tel No:				
Fax No:				
E-mail Address:				
Currency of Propos	al:			
Validity of Proposal:	·			
Please indicate which	ch of the following	Payment Terms are	offered by you:	
10 Days 3.0%	15 Days 2.5%	20 Days 2.0%	30 Days Net	Other



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Item Service Description Quantity Unit Unit Price Price

## 10 KAP Study

#### TERMS OF REFERENCE

Title: A study on knowledge, attitudes and practice (KAP) of parents/primary caregivers with children birth-six years old regarding the importance of play in early learning

Duration: 6 months

Location: South Africa

#### 1. BACKGROUND AND JUSTIFICATION

Early learning, stimulation and development opportunities for children birth to six years are provided by parents, as well as through early learning and development programmes based in communities or at schools. Parents (and other primary caregivers) are key stakeholders in the early learning and continued education of their children, and therefore should not only be seen as partners, but as fundamental facilitators in instilling a culture of lifelong learning from the start.

The policy imperatives that direct a focused approach to early learning and development as well as basic education of young children explicitly requires clear role of parents/primary caregivers on three levels, i.e. (a) directly understanding and supporting the early learning and development of their children from birth; (b) where appropriate and feasible have their children access early learning and development opportunities through Early Childhood Development (ECD) programmes; and (c) support as partners early learning and development opportunities provided in ECD programmes and the Foundation Phase. The National Early Learning and Development Standards for Children Birth to Four Years (NELDS) together with the National Curriculum Framework for children from Birth to Four (NCF) is based on the premise that parents are an integral part and often the primary source of early learning and development for a young child and that they need the required information, motivation and support in this regard.

The latter is inclusive of particularly designed parental support programmes that focus on early learning and the role of the parent. The NCF premise that #parents and caregivers #facilitate children#s learningand work in partnerships with practitioners#, which is also embedded in the objectives of the National Integrated Early Childhood Development Policy that parents should receive support with regards to #early learningpractices#. Policies never doubt the important role of parents and the need that parents are empowered to support their young children#searly learning from the start.

However, for parents to fulfill their key role in the early learning of their young children, they need to understand the value and role of children#splay and learning through play in the early years. When children play, what they learn from that process amongst others, are critical skills that help them become life-long learners, have executive function, language and physical development, early maths skills, social and emotional development and enhanced agency.

The lack of understanding of the interrelationship between play and early learning often results in parents expecting more #formal school like#approach in early learning, which is not only inappropriate, but also detrimental for the child#s development and earlylearning. The child#sdevelopment and stimulation from an early age is depended on their parents/caregivers understanding and involvement.

A growing body of research indicates that parent/caregiver participation and involvement in their children#s early learning can be linked to positive academic outcomes, confidence and positive behaviour amongst others.



Furthermore, research suggests that parent-child involvement can decrease stress in parents and also maximally increases the bond between the child and parents which fosters positive nurturing and may indirectly affect brain functioning/development by helping children cope and be resilient in times of adversity.

The data collected on early learning associated activities between parents and young children show that many parents and caregivers are not engaging in the early behaviors needed to set children up for success once they enter school. In interpreting this data, one needs to consider that it includes children from birth, which may skew the trends explained. According to the 2018 General Household Survey, nearly half (46,8%) of children aged birth to 4 years had never read a book with a parent or guardian, while about one in three (35.1%) of young children#s parents never told them a story, (32.2%) never counted with them and never talked to them about things they had (34.5%).

Furthermore, more than two in five (43.1%) young children#sparents never drew or colored with their children and one in four (25.9%) children never named different objects with their parents. Such behaviors and indicators are vital for building emergent literacy and numeracy skills in young children, and when children miss out on this early engagement, the impacts can be carried throughout their schooling career.

Therefore, parental/caregiver engagement in early learning is more critical now, than ever, as attendance in ECD programmes was disrupted due to the pandemic, and many children were left in the care of their parents/primary caregivers. Furthermore, the function shift of ECD from the social development sector to the basic education sector currently under way may present an important opportunity to expand some of the DBE#sprogrammes, which essentially introduces and enhances the concept of learning through play.

UNICEF provides key technical and financial support to the early childhood development sector on the advancement of play in early learning and development. This is related to advancement of parental engagement and general promotion of the right to play. Therefore, a national intervention that will strengthen parents and primary caregivers# skills andknowledge towards a playful approach towards early learning and development is critical.

## 2. SCOPE OF STUDY

#### 2.1 Purpose of the study

The purpose of this study is to support the implementation of early learning and development programmes taking into account on evidence regarding parents and primary caregivers of children aged birth-six years in South Africa current knowledge, practices and attitudes regarding the play-based learning in the early years.

#### 2.2Aim of the study

The aim of the study is to determine the current knowledge, prevalent attitudes and existing practices of South African parents and primary caregivers of young children from birth to six (6) years as to inform multiple interventions and programmes aiming at advancing parents/primary caregivers#knowledge and skills in terms of early learning and development embedded in the importance of play and play-based learning, while it will also serve as baseline information.

#### 2.3Objectives of the study

The specific objectives of the KAP study are:

2.3.1 To ascertain parents/primary caregivers levels of knowledge, prevalent attitudes and existing practices related to the importance of play and play-based learning in the development and early learning of their young children.



- 2.3.2 To understand the bio-psychosocial, socio-cultural and socio-economic determinants that advance or hampers parents/ primary caregivers# knowledge,attitudes and practices in understanding and adopting practices that promote nurturing care and support play and play-based learning in the development and early learning of their young children.
- 2.3.3 To identify preferred and appropriate methods, programmes, including communication for development (C4D), and sources that will advance parents KAP on play and play-based learning.
- 2.3.4 To establish the baseline on the KAP in order to develop a monitoring and evaluation framework of the implementation of programmes related to the advancement of parents KAP on play and play-based learning.
- 2.5.1 Part I: Inception
- 2.5.1.1 The assignment will commence with an inception phase to facilitate clarity, principles for the execution of the assignment, an agreed detailed work plan and agreement of roles and responsibilities.
- 2.5.1.2 The service provider will develop and submit inception report (not more than 5 pages) within the five (5) working days after the signing of the contract that provides the following information.
- (a) The research approach that the service provider will follow in the execution of this assignment as to meet the objectives (in more detail than in the proposal submitted).
- (b) Research protocol, and requirements for ethical clearance, as required.
- (c) Data collection instruments and tools that are proposed.
- (d) Aligning the proposal submitted with the final agreements on the execution and research approach (es) and methodologies of the study.
- (e) Support required from UNICEF, Department of Basic Education and/or other partners in the execution of the assignment.
- (f) Any other matters that pertain to this assignment.
- 2.5.1.3 The service provider will together with the inception report submit a detailed work plan with timelines that covers the full duration and all components of this assignment.
- 2.5.1.4 The service provider will be invited to participate in an inception discussion to clarify all matters related to the contract, the inception report as well as the work plan. This will provide the guidance for the whole assignment within the parameters of the contract. If necessary, amendments to the inception report may be requested.
- 2.5.2 Part II: Literature review and preparation for the KAP study
- 2.5.2.1 Conduct an extensive international and national literature review based on the framework, of all relevant information, including but not limited to, key data sources, existing validated measures or tools for KAP related to play and play-based learning evidence (research and non-research evidence), and other knowledge sources to ascertain what information already exists; what has been trailed and tested based on recommendations, suggestions or strategies; which methods have been successful in advancing KAP for play or not successful and why that is the case; as to provide insights to shape the KAP study within the South African context. Deliverable: Report 1 A consolidated review on the work that already exists and related findings
- 2.5.2.2 Identify and engage with key informants that will assist in providing the essential information required for KAP study. Include process and finding in Report 2.
- 2.5.2.3 Develop a detailed methodology that will guide the implementation of the KAP study to be agreed upon before the commencement of the assignment. This shall include aspects of qualitative and quantitative data collection from a representative sample of parents/primary caregivers in South Africa that shall reflect, taking into



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account the target population, the cultural diversity, socio-economic groups, gender as well as parents of children with disabilities.

It shall indicate multiple options on conducting the data collection including remote data collection, taking into considerations limitations imposed by the current situation stemming from the spread of COVID-19 as well Government#s measures toaddress, prevent and combat the spread of COVID-19.

Deliverable: Approval of the methodology and design as well as representative sample (Report 2 - A detailed methodology and design, including an agreed timeline that will be employed to undertake this study).

2.5.2.4 Finalise the KAP study plan and data collection tools, including the pre-testing of the validity of data collection tools as well as matters pertaining to language as part of the study.

Deliverable: KAP study plan with data collection tools developed, tested and approved (Report 3 # KAP study plan and data collection tools)

2.5.2.5 Clearly identify all ethical issues related to the KAP study, as well as the processes for ethical review and oversight of the research/data collection. UNICEF#s Procedurefor Ethical Standards in Research, Evaluation, Data Collection and Analysis can be found at: https://www.unicef.org/media/54796/file, and should be consistently applied throughout the research process. This should culminate into the ethical clearance for the KAP study.

Deliverable: Report 4 # Ethical considerations, ethical clearance submission and ethical clearance approval.

- 2.5.3 Part III: Data collection, analysis and synthesising phase.
- 2.5.3.1 Implement the KAP study in accordance with the agreed framework, methodology, research protocol and study plan from the agreed sample of informants to gauge or ascertain parents/primary caregivers standpoint or understanding on the importance of play, play based learning in the development of early learning of children.
- 2.5.3.2 Capture all data collected. All files with raw data must be stored appropriately and in accordance with the POPI Act. Raw data should be submitted to UNICEF on completion of the assignment.
- 2.5.3.3 Qualitative and quantitative analysis of the data and information received with due consideration of internal and external factors (including, but not limited to) socio-cultural, socio-economic and biopsychosocial factors that contributes or counteract parents/primary caregivers KAP on the importance of play, play based learning in the development of early learning of children.
- 2.5.3.4 Make findings/recommendations based on the analysis on parents/ primary caregivers KAP.
- 2.5.4 Part IV: KAP study report
- 2.5.4.1 Develop a comprehensive consolidated report on the KAP of parents based on the findings.
- 2.5.4.2 The report shall include as annexures thereto the following:
- (a) Based on the findings, an identification and recommendations of preferred and appropriate methods, programmes and sources that will advance/hinder parents/ primary caregivers# knowledge,attitudes and practices (KAP) regarding the importance of play in child development, early learning and education in South Africa.
  (b) An overview of the baseline findings with an accompanying framework to help guide future monitoring and evaluation frameworks on the implementation of programmes related to the advancement of parents/ primary caregivers# knowledge,attitudes and practices (KAP) regarding the importance of play in child development, early learning and education.
- 2.5.4.3 The following complementary and supporting documents will be developed from the KAP study report:



- (a) A four (4) page summary of the findings of the KAP study that will also serve as executive summary.
- (b) A policy brief on the findings of the KAP study targeting policy makers.
- (c) A user-friendly summary of the findings targeting grassroots practitioners.
- (d) A PowerPoint Presentation on the main findings of the KAP study in the agreed format.
- 2.5.4.4 The service provider shall at the conclusion of the assignment provide the following in the agreed electronic format:
- (a) All final draft reports that were submitted as deliverables in Ms Word format
- (b) Statistical tables and graphs submitted in SPSS, Excel and Word format.
- (c) All raw data collected as part of the assignment will be handed over electronically, or if not available electronically, in hard copy.
- 2.5.5 The service provider shall undertake to keep all information regarding the report and the content thereof confidential.
- 3. EXPECTED DELIVERABLES AND REPORTING REQUIREMENTS

Inception report and detailed work plan. (5 days)

- Report 1: A consolidated review on the work that already exists and related findings. (15 days)
- Report 2: A detailed methodology and design, including an agreed timeline that will be employed to undertake this study & approval of the methodology and design as well as representative sample. (10 days)
- Report 3: KAP study plan and data collection tools, with data collection tools developed, tested and approved. (10 days)
- Report 4: Ethical considerations, ethical clearance submission and ethical clearance approval. (5 days)
- Report 5: Data collection, analysis and preliminary findings report. (40 days)
- Report 6: Comprehensive consolidated report on the KAP. (20 days)
- Four (4) page summary of the findings of the KAP study. (5 days)

Policy brief on the findings of the KAP study targeting policy makers. (5 days)

User-friendly summary of the findings targeting grassroots practitioners. (5 days)

PowerPoint Presentation on the main findings of the KAP study. (1 day)

Statistical tables and graphs submitted in SPSS, Excel and Word format and raw data collected as part of the assignment. (1 day)

- 4. DESIRED COMPETENCIES, TECHNICAL BACKROUND AND EXPERIENCE
- 4.1 Institutional experience and capacity in carrying out similar KAP studies or experience in data collection and data analysis of national opinion polls and similar surveys that provides sufficient evidence that the service provider is able to execute this assignment.
- 4.2The team leader should possess a post-graduate degree in economics/education/social sciences/statistics or related field branch of social sciences with a minimum of five years# experience in thedesign and conduct of



surveys (including quantitative and qualitative methods).

- 4.3The research team should have adequate experience in conducting KAP surveys and analysing related data. It is critical that they have knowledge and experience related to early learning and play-based learning. Furthermore, an ability to adapt in challenging circumstances and as well as flexibility is needed.
- 4.4 Proven in-depth understanding and knowledge of the South African context, in particular work related to early learning and parental support is a requirement.
- 4.5The research team should have strong analytical and writing skills, ability to conceptualise, plan and prepare analytical reports in English. Furthermore, cultural, diversity and gender sensitivity/ awareness and understanding are required.
- 4.6The research team should have the ability to travel as part of the execution of the assignment.

#### AMINISTRATIVE ISSUES

- 5.1The contract will be managed by UNICEF together with the relevant government department(s).
- 5.2The service provider will employ its own office and administrative system in the execution of this assignment and the budget/fee shall be inclusive of all office administrative costs.
- 5.3The service provider#sfee/budget shall be inclusive of all local (national) travel associated with the execution of this assignment. In extraordinary circumstances where UNICEF deems it necessary for the service provider to travel outside the ambit of the contractual agreement, air transport and airport transfers (where applicable) will be covered in accordance with UNICEF#s rules and tariffs. Flight costs will be covered at economy class rate as per UNICEF policies.
- 5.4The service provider shall have regular consultations with UNICEF as needed, either in person or virtually. These consultations may bring about slight deviations in agreements and the work assignment. This includes an inception discussion to ensure that aspects of the work assignment are understood clearly. Meetings will be at times as agreed to discuss and agree upon matters pertinent to the implementation of this assignment.
- 5.5UNICEF is responsible for the monitoring of the implementation of this assignment and shall execute this in a professional and consultative manner throughout the term of the contract.

#### 6. CONDITIONS

- 6.1 Ethical and technical requirements
- 6.1.1 The service provider shall undertake to adhere to the following:
- (a) The service provider shall at all times keep information obtained during the work assignment confidential and shall not circulate the documents or any part there-of, at any stage to any party without the explicit written permission of both UNICEF.
- (b) The service provider shall not publish the document, any part thereof, or any reworked version thereof, without the explicit written permission of UNICEF under such conditions that both parties will agree too.
- 6.1.2 UNICEF reserves the right to subject any work done for external peer review as part of the quality assurance process in the implementation of this assignment. The service provider shall be informed if such an action is taken and will be duly informed on the outcome there-off.
- 6.2Referencing and Plagiarism



Item	Service Description	Quantity	Unit	Unit Price	Price

- 6.2.1 All sources consulted and used for the execution of this assignment shall be appropriately referenced in accordance with generally accepted referencing techniques. This applies to draft as well as final deliverables.
- 6.2.2 Referencing must follow one style and be applied consistently throughout all documents (including drafts).
- 6.2.3 Referring style will be agreed upon during inception of the assignment
- 6.2.4 UNICEF regards plagiarism in a serious light, for both ethical reasons and risk of reputation of the organisation and its partners. The service provider shall certify that a deliverable is original work. In the case of plagiarism, UNICEF will employ such sanctions and remedies as necessary, which may include the immediate termination of the contractual agreement and related payments.
- 6.3Language
- 6.3.1 The language style shall be simple and easy to read without compromising professional standards.
- 6.3.2 The service provider shall ensure that all final deliverables adhere to the required language standards, which include a thorough grammar and spell check. The service provider shall ensure consistency in the use of terminology throughout the document.

#### 6.4General conditions

- 6.4.1 The drafts and final documents, as well related data, will be the property of UNICEF after completion with full title rights. The service provider will be acknowledged for the work done in the draft documents as well as the final document, accept if the document is published as official policy or document of the Government of South Africa, then the discretion to do this lies solely with the Government of South Africa in accordance with its policies and procedures.
- 6.4.2 As per UNICEF Division of Finance and Administrative Management policy, payment is made against approved deliverables. No advance payment is allowed unless in exceptional circumstances against bank guarantee, subject to a maximum of 30 per cent of the total contract value in cases where advance purchases, for example for supplies or travel, may be necessary.
- 6.4.3 The service provider selected will be governed by and subject to UNICEF#s General Terms andConditions for institutional contracts.
- 6.4.4 Applicants not resident in South Africa are responsible for their own travel and accommodation costs, visas and other related requirements and costs, should they be selected as the preferred candidate. This is not included in the budget.

# 7.PROPOSAL, TECHNICAL EVALUATION CRITERIA & RELATIVE POINTS

# 7.1Technical proposal

- 7.1.1 The technical proposal shall contain the required information as indicated in the paragraphs below, which shall relate directly to the terms of reference (non-inclusion of any of the below components will lead to disqualification):
- 7.1.2 Profile of the service provider/institution: Portfolio of the organization/agency and three reference letters demonstrating evidence of relevant capacity, qualification or specialized knowledge/experience.



- 7.1.3 Team lead and team members: including designated role of each team member and their resumé, experience in working on similar assignments (KAP surveys, perception surveys, etc.), list of such assignments and team members# roles in them.
- 7.1.4 Approach that will be followed: KAP study implementation plan, detailing the proposed methodology, defining specific implementation steps and description of activities, indicating timeline for each activity, including estimated number of workdays necessary for each team member.
- 7.1.5 An indication of possible risk factors associated with this assignment, based on the perception and experience of the service provider. This shall include risks associated with the limitations imposed by the current situation stemming from the spread of COVID-19.

#### 7.2 Evaluation Criteria

The bids received will be assessed on two main areas: Technical proposal, and Financial proposal.

Technical Criteria 1: Overall Response (15)

Overall response of the proposal to the requirement of the assignment (5)

Overall concord between RFP requirements and proposal (10).

Technical Criteria 2: Institution and Key personnel (35)

Range and depth of experience (15)

Team leader qualifications and experience (5)

Key personnel to be assigned: relevant qualifications & experience, Number of staff for the project (10)

Client references, relevant examples to assess competency (5)

Technical Criteria 3: Proposed Methodology (50)

Envisaged methodology and approach to the assignment. (40)

Proposed workplan as per the TORs. (10)

Total Technical Score - 100 Minimum Acceptable Score - 70

# 7.3Financial proposal

- 7.3.1 A detailed financial proposal should be structured by outputs listed in specific tasks and major deliverables. Associated costs should be itemised.
- 7.3.2 The financial proposal should indicate budget estimated in South African Rands (ZAR).

# 7.3.3 Please note:

- (a) Prices offered shall be all inclusive and shall remain fixed for the duration of the contract.
- (b) VAT needs to be indicated separately (i.e. fees/budget needs to exclude VAT).

Weights: Indicate 70% Technical vs. 30% Financial Offer

### 8. Risks

South Africa, like the rest of the world, is fighting against the spread of COVID-19 with widespread community transmission. Public gatherings pose a high risk of community transmissions. Consultations will be done in strict adherence to the national COVID-19 regulations in relation to the number of people allowed per gathering, the mandatory wearing of masks, maintaining of the required social/physical distance and the practice of hand hygiene.



Knowledge, Attitudes and Practices Study

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#### **SPECIAL NOTES**

#### 1. PROCEDURES AND RULES

## 1.1. ORGANISATIONAL BACKGROUND

UNICEF is the agency of the United Nations mandated to advocate for the protection of children's rights, to help meet their basic needs and to expand their opportunities to reach their full potential. Guided by the Convention on the Rights of the Child UNICEF strives to establish children's rights as international standards of behaviour towards children. UNICEF's role is to mobilize political will and material resources to help countries ensure a "first call for children". UNICEF is committed to ensuring special protection for the most disadvantaged children.

UNICEF carries out its work through its headquarters in New York, 8 regional offices and 125 country offices world-wide. UNICEF also has a research centre in Florence, a supply operation based in Copenhagen and offices in Tokyo and Brussels. Its 37 committees for UNICEF raise funds and spread awareness about the organizations mission and work.

### 1.2. PURPOSE OF THE RFP

A study on knowledge, attitudes and practice (KAP) of parents/primary caregivers with children birth-six years old regarding the importance of play in early learning.

#### 1.3. FORECAST SCHEDULE

The closing date and time for submission of full proposal is Thursday, 9 December 2021 at 23h59.

# 1.4. RFP CHANGE POLICY

All requests for formal clarification or queries on this RFP must be submitted in writing to Nicole Anthoo via e-mail at nanthoo@unicef.org copy to Kaitswe Bruce Mathebe at kmathebe@unicef.org.

Only written inquiries will be responded to. Please be informed that if the question is of common interest, the answer will be shared with all potential RFP bidders.

Erasures or other corrections in the proposal must be explained and the signature of the applicant shown alongside. All changes to a proposal must be received prior to the closing time and date. It must be clearly indicated that it is a modification and supersedes the earlier proposal or state the changes from the original proposal. Proposals may be withdrawn on written request received from bidders prior to the opening time and date. Bidders are expected to examine all instructions pertaining to the work. Failure to do so will be at bidder's own risk and disadvantage.

#### 1.5. RFP RESPONSE FORMAT

# CONFIDENTIAL ELECTRONIC PROPOSALS

Full proposals should be submitted in ENGLISH and must be received not later than 23h59, on Thursday, 9 December 2021 in electronic PDF version, duly signed and dated. Bidders must submit their electronic proposals, ensuring separate emails for the Technical Proposal and the Price Proposal. Each email should indicate the Tender Number and "Technical Proposal" or



"Price Proposal" in the subject line.

The Price Proposal must be submitted in an email separate from the rest of the proposal. Prices or rates shall not appear in any other part of the proposal.

All references to descriptive materials should be included in the appropriate response paragraph, though the material/documents themselves may be provided as annexes to the proposal/response.

The bidder must also provide sufficient information in the proposal to address each area of the Proposal Evaluation contained in 1.9 to allow the evaluation team to make a fair assessment of the candidates and their proposal.

# 1.6. CONFIDENTIAL INFORMATION

Information, which the bidder considers proprietary, should be clearly marked "proprietary", if any, next to the relevant part of the text, and UNICEF will treat such information accordingly.

# 1.7. RIGHTS OF UNICEF

UNICEF reserves the right to accept any proposal, in whole or in part; or, to reject any or all proposals. UNICEF reserves the right to invalidate any Proposal received from a Bidder who has previously failed to perform properly or complete contracts on time, or a Proposal received from a Bidder who, in the opinion of UNICEF, is not in a position to perform the contract. UNICEF shall not be held responsible for any cost incurred by the Bidder in preparing the response to this Request for Proposal. The Bidder agrees to be bound by the decision of UNICEF as to whether her/his proposal meets the requirements stated in this Request for Proposal. Specifically, UNICEF reserves the right to:

- Contact any or all references supplied by the bidder(s)
- Request additional supporting or supplementary data (from the bidder(s)).
- Arrange interviews with the bidder(s)
- Reject any or all proposals submitted
- Accept any proposals in whole or in part
- Negotiate with the most favourable bidder(s)
- Contract any number of candidates as required to achieve the overall evaluation objectives.

#### 1.8. PROPOSAL OPENING

Due to the nature of this RFP, there will be no public opening of proposals.

# 1.9. PROPOSAL EVALUATION

After the opening, each proposal will be assessed first on its technical merits and subsequently on its price. The proposal with the best overall value, composed of technical merit and price, will be recommended for approval. UNICEF will set up an evaluation panel composed of technical UNICEF staff and their conclusions will be forwarded to the internal UNICEF Contracts Review Committee.

The evaluation panel will first evaluate each response for compliance with the requirements of this RFP. Responses deemed not to meet all of the mandatory requirements will be considered non-compliant and rejected at this stage without further consideration. Failure to comply with any of the terms and conditions contained in this RFP, including provision of all required information, may result in a response or proposal being disqualified from further consideration.



The proposals will be evaluated against the following:

## CATEGORY POINTS

- 1. Technical Evaluation 70
- 2. Price Proposal 30

The total amount of points allocated for the price component is 30. The maximum number of points will be allotted to the lowest price proposal that is opened and compared among those invited firms/institutions which obtain the threshold points in the evaluation of the technical component. All other price proposals will receive points in inverse proportion to the lowest price, e.g.: Score for price proposal X = (Max. score for price proposal (e.g. 30) \* Price of lowest priced proposal) / Price of proposal <math>X = (Max. score for price proposal) / Price of proposal <math>X = (Max. score for price proposal) / Price of proposal <math>X = (Max. score for price proposal) / Price of proposal <math>X = (Max. score for price proposal) / Price of proposal <math>X = (Max. score for price proposal) / Price of proposal <math>X = (Max. score for price proposal) / Price of proposal <math>X = (Max. score for price proposal) / Price of proposal <math>X = (Max. score for price proposal) / Price of proposal <math>X = (Max. score for price proposal) / Price of proposal <math>X = (Max. score for price proposal) / Price of proposal <math>X = (Max. score for price proposal) / Price of proposal <math>X = (Max. score for price proposal) / Price of proposal <math>X = (Max. score for price proposal) / Price of proposal <math>X = (Max. score for price proposal) / Price of proposal <math>X = (Max. score for price proposal) / Price of proposal <math>X = (Max. score for price proposal) / Price of proposal <math>X = (Max. score for price proposal) / Price of proposal <math>X = (Max. score for price proposal) / Price of proposal <math>X = (Max. score for price proposal) / Price of proposal <math>X = (Max. score for price proposal) / Price of proposal <math>X = (Max. score for price proposal) / Price of proposal <math>X = (Max. score for price proposal) / Price of proposal <math>X = (Max. score for price proposal) / Price of proposal <math>X = (Max. score for price proposal) / Price of Price proposal <math>X = (Max. score for proposal) / Price of Price proposal <math>X = (Max. score for proposal) / Price of Price proposal <math>X = (Max. score for proposal) / Price of Pric

# Total Technical and Price 100 Pts

UNICEF will award the contract to the vendor whose response is of high quality, clear and meets the projects goals, including:

The price/cost of each of the technically compliant proposals shall be considered only upon evaluation of the above technical criteria. The bidders should ensure that all pricing information is provided in accordance with the following:

The currency of the proposal should be in ZAR (South African Rands). Invoicing will be in the currency of the proposal. The bidder will suggest a payment schedule for the Contract, linked to unambiguous Contract milestones. All prices/rates quoted must be exclusive of all taxes as UNICEF is a tax-exempt organization. When applicable VAT will be added to the invoice for payment by UNICEF to the supplier before being refunded by the taxes authorities.

#### 1.10. PROPERTY OF UNICEF

This RFP, along with any responses there to, shall be considered the property of UNICEF and the proposals will not be returned to their originators. In submitting a proposal, the bidder will accept the decision of UNICEF as to whether the proposal meets the requirements stated in this RFP.

# 1.11. VALIDITY

Proposal must be valid for a minimum of sixty (60) days from the date of opening of this RFP and must be signed by all candidates included in the submission. For proposals from institutions, the proposal must also be signed by an authorized representative of the institution. Bidders are requested to indicate the validity period of their proposal in the Proposal Form. UNICEF may also request for an extension of the validity of the proposal.

#### 1.12. CONTRACTUAL TERMS AND CONDITIONS

The UNICEF General Terms and Conditions are attached and will form part of any contract resulting from this RFP.

# 1.13. FULL RIGHT TO USE AND SELL

The bidder warrants that it has not and shall not enter into any agreement or arrangement that restrains or restricts UNICEF or the recipient Governments rights to use, sell, dispose of or,



otherwise, deal with any item that may be acquired under any resulting Contract.

# 1.14. PAYMENT TERMS

Payment will be made only upon UNICEF's acceptance of the work performed in accordance with the contractual milestones. The terms of payment are Net 30 days, after receipt of invoice and acceptance of work. Payment will be effected by bank transfer in the currency of billing. Financial proposals should include proposed stage payments.



# INSTRUCTION TO PROPOSERS

- 1. MARKING AND RETURNING PROPOSALS
- 1.1 Proposals shall be submitted in the manner specified earlier in this solicitation document. Detailed submission guidance at paragraphs 1.7, 1.8 and/or 1.9 should then be followed accordingly.
- 1.2 The Bid Form/Request for Proposal for Services Form must be signed, and submitted together with the Proposal. The Bid Form/Request for Proposal for Services Form should be signed by the duly authorized representative of the submitting company.
- 1.3 Proposals must be clearly marked with the RFP(S) number and the name of the company submitting the Proposal.
- 1.4 Proposers should note that Proposals received in the following manner will be invalidated:
- a) with incorrect (as applicable) postal address, email address or fax number;
- b) received after the stipulated closing time and date; c) failure to quote in the currency(ies) stated in the RFP(S);
- d) in a different form than prescribed in the RFP(S).
- 1.5 Technical Proposal: The Technical Proposal should address the criteria and requirements outlined in this RFP(S), paying particular attention to its schedules/Terms of Reference/Statement of Work and its evaluation criteria. It is important to note that UNICEF actively welcomes innovative proposals and original solutions to the stated service/goods need.
- NO PRICE INFORMATION SHOULD BE CONTAINED IN THE TECHNICAL PROPOSAL.
- 1.6 Price Proposal: The Price Proposal should be prepared in accordance with the requirements contained in the schedules/Terms of Reference/Statement of Work for this RFP(S).
- 1.7 Sealed Proposals (as applicable)
- 1.7.1 See paragraph 1.1 above concerning applicability of this paragraph.
- 1.7.2 The Proposal must be sent for the attention of unit/team and address as specified in this RFP/RFPS. Proposals not sent in this manner will be disqualified.
- 1.7.3 They must be clearly marked as follows:
- \* Outer sealed envelope: Name of company [RFP(S) NO.] [NAME OF UNIT & UNICEF OFFICE ADDRESS]
- \* Inner sealed envelope Technical Proposal (1 original and 2 copies): Name of company, RFP(S) number technical proposal
- \* Inner sealed envelope Price Proposal (1 original and 2 copies): Name of company, RFP(S) number price proposal

No price information should be provided in the Technical Proposal.

Proposals received in any other manner will be invalidated.

- 1.7.4 In case of any discrepancy between an original and a copy, the original will prevail.
- 1.7.5 Any delays encountered in the mail delivery will be at the risk of the Proposer.
- 1.8 Faxed Proposals (as applicable)
- 1.8.1 See paragraph 1.1 above concerning applicability of this paragraph.
- 1.8.2 Faxed Proposals must be returned to the ONLY ACCEPTABLE FAX NUMBER for Proposals as specified in this RFP(S) Document. Proposers should note that Proposals received at any other fax number will be invalidated.

No price information should be provided in the Technical Proposal.

- 1.9 E-mailed Proposals (as applicable)
- 1.9.1 See paragraph 1.1 above concerning applicability of this paragraph.
- 1.9.2 All e-mailed Proposals must be submitted to the ONLY ACCEPTABLE E-MAIL ADDRESS as specified in this solicitation document. No other recipient should be "Cc" or "Bcc" in the e-mail submission. Proposals not sent in this manner will be disqualified.
- 1.9.3 All Proposals submitted by e-mail must be submitted as email attachments. The Technical Proposal and Price Proposal must be sent as separate attachments and clearly indicated as such in the file name (e.g. Company ABC Technical Proposal, Company ABC Price Proposal). Email links (e.g. to documents to be downloaded from cloud based folders) are not acceptable unless otherwise specifically requested. Proposals submitted as a link or through a link will be invalidated.

#### 2. OPENING OF PROPOSALS

- 2.1 Proposals received prior to the stated closing time and date will be kept unopened. UNICEF will open Proposals when the specified time has arrived and no Proposal received thereafter will be considered.
- 2.2 UNICEF will accept no responsibility for the premature opening of a Proposal which is not properly addressed or identified.
- 2.3 In cases when a Public Opening is held, the invited proposers, or their authorized representative, may attend the public Proposal opening at the time, date and location specified in the RFP(S) documents.
- 3. UNGM REGISTRATION



3.1 UNICEF is part of the United Nations Global Marketplace(UNGM). Accordingly, all proposers are encouraged to become a UNICEF vendor by creating a vendor profile in the UNGM website: www.ungm.org

#### 4. AWARD NOTIFICATION

4.1 UNICEF reserves the right to make a public notification of the outcome on an RFP(S) advising product/service, awarded supplier and total value of award



GENERAL TERMS AND CONDITIONS OF CONTRACT (Services)

Definitions and UNICEF Supply Website

1.1 In these General Terms and Conditions (Services), the following terms have the following meaning:

"Affiliates" means, with respect to the Contractor, any of its corporate affiliates or associates, including parent entities, subsidiaries, and other entities in which it owns a substantial interest.

"Confidential Information" means information or data that is designated as confidential at the time of avaexchange between the Parties or promptly identified as confidential in writing when furnished in polintangible form or disclosed orally, and includes information, the confidential or proprietary nature of which, is or should be reasonably apparent from the inherent nature, quality or characteristics of such 2. information.

"Contract" means the services contract that incorporates these General Terms and Conditions of Contract (Services). It includes contracts for services issued by UNICEF, whether or not they are issued under a long-term arrangement or similar contract.

"Contractor" means the contractor named in the Contract.

"Deliverables" means the work product and other output of the Services required to be delivered by Contractor as part of the Services, as specified in the relevant section of the Contract.

"Disabling Code" means any virus, back door, timer or other limiting routine, instruction or design, or other malicious, illicit or similar unrequested code that may have the consequence (whether by design or unintentionally) of disrupting, disabling, harming, circumventing security controls or otherwise impeding in any manner the normal operation or performance of (i) any software or service or (ii) any UNICEF information system or network.

"End User" means, in the event that the Services or Deliverables involve the use of any information systems, any and all UNICEF employees, consultants and other personnel and any other external users collaborating with UNICEF, in each case, authorized by UNICEF to access and use the Services and/or Deliverables.

"Fee" is defined in Article 3.1.

"Host Government" means a Government with which UNICEF has a programme of development cooperation, and includes a Government of a country in which UNICEF provides humanitarian assistance.

Contractor's "Key Personnel" are: (i) Personnel identified in the proposal as key individuals (as a minimum, partners, managers, senior auditors) to be assigned for participation in the performance of the Contract; (ii) Personnel whose resumes were submitted with the proposal; and (iii) individuals who are designated as key personnel by agreement of the Contractor and UNICEF during negotiations.

"Parties" means the Contractor and UNICEF together and a "Party" means each of the Contractor and UNICEF.

Contractor's "Personnel" means the Contractor's officials, employees, agents, individual sub-contractors and other representatives.

"Security Incident" means, with respect to any information system, service or network used in the delivery of the Services or Deliverables, one or more events that (a) indicates that the security of such information system, service, or network may have been breached or compromised and (b) that such breach or compromise could very likely compromise the security of UNICEF's Confidential Information or weaken or impair UNICEF's operations. Security Incident includes any actual, threatened or reasonably suspected unauthorized access to, disclosure of, use of or acquisition of UNICEF Data that compromises the security, confidentiality, or integrity of the UNICEF Data, or the ability of UNICEF or End Users to access the UNICEF Data.

"Services" means the services specified in the relevant section of the Contract.

"UNICEF Data" means any and all information or data in digital form or processed or held in digital form that (a) are provided to the Contractor by, or on behalf of, UNICEF and/or End Users under 2.7

the Contract or through UNICEF's and/or End Users' use of the Services or in connection with the Services, or (b) are collected by the Contractor in the performance of the Contract.

"UNICEF Supply Website" means UNICEF's public access webpage available at http://www.unicef.org/supply/index\_procurement\_policies.html, as may be updated from time to time.

- 1.2 These General Terms and Conditions of Contract, UNICEF's Policy Prohibiting and Combatting Fraud and Corruption, the UNICEF's Policy on Conduct Promoting the Protection and Safeguarding of Children, the UN Supplier Code of Conduct and UNICEF's Information Disclosure Policy referred to in the Contract, as well as other policies applicable to the Contractor, are publicly available on the UNICEF Supply Website. The Contractor represents that it has reviewed all such policies as of the effective date of the Contract.
- 2. Provision of Services and Deliverables; Contractor's Personnel; Sub-Contractors

Provision of Services and Deliverables

- 2.1 The Contractor will provide the Services and deliver the Deliverables in accordance with the scope of work set out in the Contract, including, but not limited to, the time for delivery of the Services and Deliverables, and to UNICEF's satisfaction. Except as expressly provided in the Contract, the Contractor will be responsible at its sole cost for providing all the necessary personnel, equipment, material and supplies and for making all arrangements necessary for the performance and completion of the Services and delivery of the Deliverables under the Contract.
- 2.2 The Contractor acknowledges that, other than as expressly set out in the Contract, UNICEF will have no obligation to provide any assistance to the Contractor and UNICEF makes no representations as to the availability of any facilities, equipment, materials, systems or licenses which may be helpful or useful for the fulfillment by the Contractor of its obligations under the Contract. If UNICEF provides access to and use of UNICEF premises, facilities or systems (whether on site or remotely) to the Contractor for the purposes of the Contract, the Contractor will ensure that its Personnel or sub-contractors will, at all times (a) use such access exclusively for the specific purpose for which the access has been granted and (b) comply with UNICEF's security and other regulations and instructions for such access and use, including, but not limited to, UNICEF's information security policies. The Contractor will ensure that only those of its Personnel that have been authorized by the Contractor, and approved by UNICEF, have access to UNICEF's premises, facilities or systems.
- 2.3 The Contractor will use its best efforts to accommodate reasonable requests for changes (if any) to the scope of work of the Services or time for provision of the Services or delivery of the Deliverables. If UNICEF requests any material change to the scope of work or time for delivery, UNICEF and the Contractor will negotiate any necessary changes to the Contract, including as to the Fee and the time schedule under the Contract. Any such agreed changes will become effective only when they are set out in a written amendment to the Contract signed by both UNICEF and the Contractor. Should the Parties fail to agree on any such changes within thirty (30) days, UNICEF will have the option to terminate the Contract without penalty notwithstanding any other provision of the Contract.
- 2.4 The Contractor will neither seek nor accept instructions from any entity other than UNICEF (or entities authorized by UNICEF to give instructions to the Contractor) in connection with the provision of the Services or development and delivery of the Deliverables.
- 2.5 Title to any equipment and supplies which may be provided to the Contractor by UNICEF, will remain with UNICEF. Such equipment and supplies will be returned to UNICEF at the conclusion of the Contract or when no longer needed by the Contractor in the same condition as when they were provided to the Contractor, subject to normal wear and tear. The Contractor will pay UNICEF the value of any loss of, damage to, or degradation of, the equipment and supplies beyond normal wear and tear.

Non-conforming Services and Consequences of Delay

- 2.6 If the Contractor determines it will be unable to provide the Services or deliver the Deliverables by the date stipulated in the Contract, the Contractor will (i) immediately consult with UNICEF to determine the most expeditious means for delivery of the Services and/or Deliverables; and (ii) take necessary action to expedite delivery of the Services and/or Deliverables, at the Contractor's cost (unless the delay is due to force majeure as defined in Article 6.8 below), if reasonably so requested by UNICEF.
- 2.7 The Contractor acknowledges that UNICEF may monitor the Contractor's performance under



the Contract and may at any time evaluate the quality of the Services provided and the Deliverables to determine whether or not the Services and Deliverables conform to the Contract. The Contractor (f) agrees to provide its full cooperation with such performance monitoring and evaluation, at no republication and evaluation at no additional cost or expense to UNICEF, and will provide relevant information as reasonably requested by UNICEF, including, but not limited to, the date of receipt of the Contract, detailed status updates, as costs to be charged and payments made by UNICEF or pending. Neither the evaluation of the Services and Deliverables, nor failure to undertake any such evaluation, will relieve the Contractor of (g) any of its warranty or other obligations under the Contract.

- 2.8 If the Services or Deliverables provided by the Contractor do not conform to the requirements of the Contract or are delivered late or incomplete, without prejudice to any of its other rights and remedies, UNICEF can, at its option:
- (a) by written notice, require the Contractor, at the Contractor's expense, to remedy its performance, including any deficiencies in the Deliverables, to UNICEF's satisfaction within thirty (30) days after receipt of UNICEF's notice (or within such shorter period as UNICEF may determine, in its sole discretion, is necessary as specified in the notice);
- (b) require the Contractor to refund all payments (if any) made by UNICEF in respect of such non-conforming or incomplete performance;
- (c) procure all or part of the Services and/or Deliverables from other sources, and require the 2.13

  Contractor to pay UNICEF for any additional cost beyond the balance of the Fee for such Services and li and Deliverables; with the services of the Fee for such Services and Interpretables in the services of the Fee for such Services and Interpretables.
- (d) give written notice to terminate the Contract for breach, in accordance with Article 6.1 below, 2.14 if the Contractor fails to remedy the breach within the cure period specified in Article 6.1 or if the laws, breach is not capable of remedy; with
- (e) require the Contractor to pay liquidated damages as set out in the Contract.
- 2.9 Further to Article 11.5 below, the Contractor expressly acknowledges that if UNICEF takes delivery of Services or Deliverables that have been delivered late or otherwise not in full compliance with the requirements of the Contract, this does not constitute a waiver of UNICEF's rights in respect of such late or non-compliant performance.

Contractor's Personnel and Sub-Contractors

- 2.10 The following provisions apply with regard to the Contractor's Personnel:
- (a) The provisions of Article 7 (Ethical Standards) will apply to the Contractor's Personnel as  $\,$  3. expressly stated in Article 7.
- (b) The Contractor will be responsible for the professional and technical competence of the Personnel it assigns to perform work under the Contract and will select professionally qualified, reliable and competent individuals who will be able to effectively perform the obligations under the Contract and who, while doing so, will respect the local laws and customs and conform to a high standard of moral and ethical conduct.
- (c) The qualifications of any Personnel whom the Contractor may assign or may propose to assign to perform any obligations under the Contract will be substantially the same as, or better than, the qualifications of any personnel originally proposed by the Contractor.
- (d) At any time during the term of the Contract, UNICEF can make a written request that the Contractor replace one or more of the assigned Personnel. UNICEF will not be required to give an explanation or justification for this request. Within seven (7) working days of receiving UNICEF's request for replacement the Contractor must replace the Personnel in question with Personnel acceptable to UNICEF. This provision also extends to Personnel of the Contractor who have "account manager" or "relationship manager" type functions.
- (e) If one or more of Contractor's Key Personnel become unavailable, for any reason, for work under the Contract, the Contractor will (i) notify the UNICEF contracting authority at least fourteen (14) days in advance; and (ii) obtain the UNICEF contracting authority's approval prior to making any substitution of Key Personnel. In notifying the UNICEF contracting authority, the Contractor will provide an explanation of the circumstances necessitating the proposed replacement(s) and submit justification and qualification of replacement Personnel in sufficient detail to permit evaluation of the impact on the engagement.

- (f) The approval of UNICEF of any Personnel assigned by the Contractor (including any replacement Personnel) will not relieve the Contractor of any of its obligations under the Contract. The Contractor's Personnel, including individual sub-contractors, will not be considered in any respect as being the employees or agents of UNICEF.
- (g) All expenses of the withdrawal or replacement of the Contractor's Personnel will, in all cases, be borne exclusively by the Contractor.
- 2.11 The Contractor will obtain the prior written approval and clearance of UNICEF for all institutional sub-contractors it proposes to use in connection with the Contract. The approval of UNICEF of a sub-contractor will not relieve the Contractor of any of its obligations under the Contract. The terms of any sub-contract will be subject to, and will be construed in a manner that is fully in accordance with, all of the terms and conditions of the Contract.
- 2.12 The Contractor confirms that it has read UNICEF's Policy on Conduct Promoting the Protection and Safeguarding of Children. The Contractor will ensure that its Personnel understand the notification requirements expected of them and will establish and maintain appropriate measures to promote compliance with such requirements. The Contractor will further cooperate with UNICEF's implementation of this policy.
- 2.13 The Contractor will supervise its Personnel and sub-contractors and will be fully responsible and liable for all Services performed by its Personnel and sub-contractors and for their compliance with the terms and conditions of the Contract.
- 2.14 The Contractor will comply with all applicable international standards and national labor laws, rules and regulations relating to the employment of national and international staff in connection with the Services, including, but not limited to, laws, rules and regulations associated with the payment of the employer's portions of income tax, insurance, social security, health insurance, worker's compensation, retirement funds, severance or other similar payments. Without limiting the provisions of this Article 2 or Article 4 below, the Contractor will be fully responsible and liable for, and UNICEF will not be liable for (a) all payments due to its Personnel and sub-contractors for their services in relation to the performance of the Contract; (b) any action, omission, negligence or misconduct of the Contractor, its Personnel and sub-contractors; (c) any insurance coverage which may be necessary or desirable for the purpose of the Contract; (d) the safety and security of the Contractor's Personnel and sub-contractors' personnel; or (e) any costs, expenses, or claims associated with any illness, injury, death or disability of the Contractor's Personnel and sub-contractors' personnel, it being understood that UNICEF will have no liability or responsibilitywith regard to any of the events referred to in this Article 2.14.
- 3. Fee; Invoicing; Tax Exemption; Payment Terms
- 3.1 The fee for the Services is the amount in the currency specified in the fee section of the Contract (the "Fee"), it being understood that such amount is specified in United States dollars unless otherwise expressly provided for in the fee section of the Contract. Unless expressly stated otherwise in the Contract, the Fee is inclusive of all costs, expenses, charges or fees that the Contractor may incur in connection with the performance of its obligations under the Contract; provided that, without prejudice to or limiting the provisions of Article 3.3 below, all duties and other taxes imposed by any authority or entity must be separately identified. It is understood and agreed that the Contractor will not request any change to the Fee after the Services or Deliverables have been provided and that the Fee cannot be changed except by written agreement between the Parties before the relevant Service or Deliverable is provided. UNICEF will not agree to changes to the Fee for modifications or interpretations of the scope of work if those modifications or interpretations of the scope of work have already been initiated by the Contractor. UNICEF will not be liable to pay for any work conducted or materials provided by the Contractor that are outside the scope of work or were not authorized in advance by UNICEF.
- 3.2 The Contractor will issue invoices to UNICEF only after the Contractor has provided the Services (or components of the Services) and delivered the Deliverables (or installments of the Deliverables) in accordance with the Contract and to UNICEF's satisfaction. The Contractor will issue (a) one (1) invoice in respect of the payment being sought, in the currency specified in the Contract and in English, indicating the Contract identification number listed on the front page of the Contract; and (b) provide a clear and specific description of the Services provided and Deliverables delivered, as well as supporting documentation for reimbursable expenses if any, in sufficient detail to permit UNICEF to verify the amounts stated in the invoice.
- 3.3 The Contractor authorizes UNICEF to deduct from the Contractor's invoices any amount



representing direct taxes (except charges for utilities services) and customs restrictions, duties and charges of a similar nature in respect of articles imported or exported for UNICEF's official use in applicable, to provide the Services and deliver the Deliverables to UNICEF's satisfaction and to accordance with the exemption from tax in Article II, Section 7 of the Convention of the Privileges and Immunities of the United Nations, 1946. In the event any governmental authority refuses to recognize this exemption from taxes, restrictions, duties or charges, the Contractor will immediately consult with UNICEF to determine a mutually acceptable procedure. The Contractor will provide full cooperation to UNICEF with regard to securing UNICEF's exemption from, or refund of amounts paid as, value-added taxes or taxes of a similar nature.

- UNICEF will notify the Contractor of any dispute or discrepancy in the content or form of any invoice. With respect to disputes regarding only a portion of such invoice, UNICEF will pay the Contractor the amount of the undisputed portion in accordance with Article 3.5 below. UNICEF and the Contractor will consult in good faith to promptly resolve any dispute with respect to any invoice. Upon resolution of such dispute, any amounts that have not been charged in accordance with the Contract will be deducted from the invoice(s) in which they appear and UNICEF will pay any agreed remaining items in the invoice(s) in accordance with Article 3.5 within thirty (30) days after the final resolution of such dispute.
- UNICEF will pay the uncontested amount of the Contractor's invoice within thirty (30) days 3.5 of receiving both the invoice and the required supporting documents, as referred to in Article 3.2 above. The amount paid will reflect any discount(s) shown under the payment terms of the Contract. The Contractor will not be entitled to interest on any late payment or any sums payable under the Contract nor any accrued interest on payments withheld by UNICEF in connection with a dispute. Payment will not relieve the Contractor of its obligations under the Contract and will not be deemed to be acceptance by UNICEF of, or waiver of any of UNICEF's rights with regard to, the Contractor's performance.
- Each invoice will confirm the Contractor's bank account details provided to UNICEF as part of the Contractor's registration process with UNICEF. All payments due to the Contractor under the Contract will be made by electronic funds transfer to that bank account. It is the Contractor's responsibility to ensure that the bank details supplied by it to UNICEF are up-to-date and accurate and notify UNICEF in writing by an authorized representative of the Contractor of any changes in bank details together with supporting documentation satisfactory to UNICEF.
- The Contractor acknowledges and agrees that UNICEF may withhold payment in respect of any invoice if, in UNICEF's opinion, the Contractor has not performed in accordance with the terms and conditions of the Contract, or if the Contractor has not provided sufficient documentation in
- UNICEF will have the right to set off, against any amount or amounts due and payable by UNICEF to the Contractor under the Contract, any payment, indebtedness or other claim (including, without limitation, any overpayment made by UNICEF to the Contractor) owing by the Contractor to UNICEF under the Contract or under any other contract or agreement between the Parties. UNICEF will not be required to give the Contractor prior notice before exercising this right of set-off (such notice being waived by the Contractor). UNICEF will promptly notify the Contractor after it has exercised such right of set-off, explaining the reasons for such set-off, provided, however, that the failure to give such notification will not affect the validity of such set-off.
- Each of the invoices paid by UNICEF may be subject to a post-payment audit by UNICEF's external and internal auditors or by other authorised agents of UNICEF, at any time during the term of the Contract and for three (3) years after the Contract terminates. UNICEF will be entitled to a refund from the Contractor of amounts such audit or audits determine were not in accordance with the Contract regardless of the reasons for such payments (including but not limited to the actions or inactions of UNICEF staff and other personnel).
- Representations and Warranties; Indemnification; Insurance

#### Representations and Warranties

The Contractor represents and warrants that as of the effective date and throughout the term of the Contract: (a) the Contractor has the full authority and power to enter into the Contract and to perform its obligations under the Contract and the Contract is a legal, valid and binding obligation, enforceable against it in accordance with its terms; (b) all of the information it has previously provided to UNICEF, or that it provides to UNICEF during the term of the Contract, concerning the Contractor and the provision of the Services and the delivering of the Deliverables is true, correct, accurate and not misleading; (c) it is financially solvent and is able to provide the Services to UNICEF in accordance with the terms and conditions of the Contract; (d) it has, and will maintain

throughout the term of the Contract, all rights, licenses, authority and resources necessary, as perform its obligations under the Contract; (e) the work product is and will be original to the Contractor and does not and will not infringe any copyright, trademark, patent or other proprietary right of any third party; and (f) except as otherwise expressly stated in the Contract, it has not and will not enter into any agreement or arrangement that restrains or restricts any person's rights to use, sell, dispose of or otherwise deal with any Deliverable or other work resulting from the Services. The Contractor will fulfill its commitments with the fullest regard to the interests of UNICEF and will refrain from any action which may adversely affect UNICEF or the United

- The Contractor further represents and warrants, as of the effective date and throughout the 4.2 term of the Contract, that it and its Personnel and sub-contractors will perform the Contract and provide the Services and Deliverables (a) in a professional and workmanlike manner; (b) with reasonable care and skill and in accordance with the highest professional standards accorded to professionals providing the same or substantially similar services in a same industry; (c) with priority equal to that given to the same or similar services for the Contractor's other clients; and (d) in accordance with all laws, ordinances, rules, and regulations bearing upon the performance of its obligations under the Contract and the provision of the Services and Deliverables.
- The representations and warranties made by the Contractor in Articles 4.1 and 4.2 above are made to and are for the benefit of (a) each entity (if any) that makes a direct financial contribution to UNICEF to procure the Services and Deliverables; and (b) each Government or other entity (if any) that receives the direct benefit of the Services and Deliverables.

#### Indemnification

- The Contractor will indemnify, hold and save harmless and defend, at its own expense, UNICEF, its officials, employees, consultants and agents, each entity that makes a direct financial contribution to UNICEF to procure the Services and Deliverables and each Government or other entity that receives the direct benefit of the Services and Deliverables, from and against all suits, claims, demands, losses and liability of any nature or kind, including their costs and expenses, by any third party and arising out of the acts or omissions of the Contractor or its Personnel or sub-contractors in the performance of the Contract. This provision will extend to but not be limited to (a) claims and liability in the nature of workers' compensation, (b) product liability, and (c) any actions or claims pertaining to the alleged infringement of a copyright or other intellectual property rights or licenses, patent, design, trade-name or trade-mark arising in connection with the Deliverables or other liability arising out of the use of patented inventions or devices, copyrighted material or other intellectual property provided or licensed to UNICEF under the terms of the Contract or used by the Contractor, its Personnel or sub-contractors in the performance of the Contract,
- UNICEF will report any such suits, proceedings, claims, demands, losses or liability to the Contractor within a reasonable period of time after having received actual notice. The Contractor will have sole control of the defence, settlement and compromise of any such suit, proceeding, claim or demand, except with respect to the assertion or defence of the privileges and immunities of UNICEF or any matter relating to UNICEF's privileges and immunities (including matters relating to UNICEF's relations with Host Governments), which as between the Contractor and UNICEF only UNICEF itself (or relevant Governmental entities) will assert and maintain. UNICEF will have the right, at its own expense, to be represented in any such suit, proceeding, claim or demand by independent counsel of its own choosing.

#### Insurance

- 4.6 The Contractor will comply with the following insurance requirements:
- The Contractor will have and maintain in effect with reputable insurers and in sufficient amounts, insurance against all of the Contractor's risks under the Contract (including, but not limited to, the risk of claims arising out of or related to the Contractor's performance of the Contract), including the following:
- (i) Insurance against all risks in respect of its property and any equipment used for the performance of the Contract;
- General liability insurance against all risks in respect of the Contract and claims arising out of the Contract in an adequate amount to cover all claims arising from or in connection with the Contractor's performance under the Contract;



- All appropriate workers' compensation and employer's liability insurance, or its equivalent, Discloser's Confidential Information as the Recipient uses for its own Confidential Information and with respect to its Personnel and sub-contractors to cover claims for death, bodily injury or damage to property arising from the performance of the Contract; and
- Such other insurance as may be agreed upon in writing between UNICEF and the Contractor
- The Contractor will maintain the insurance coverage referred to in Article 4.6(a) above during the term of the Contract and for a period after the Contract terminates extending to the end of any applicable limitations period with regard to claims against which the insurance is obtained.
- The Contractor will be responsible to fund all amounts within any policy deductible or retention.
- (d) Except with regard to the insurance referred to in paragraph (a)(iii) above, the insurance policies for the Contractor's insurance required under this Article 4.6 will (i) name UNICEF as an additional insured; (ii) include a waiver by the insurer of any subrogation rights against UNICEF; and (iii) provide that UNICEF will receive thirty (30) days' written notice from the insurer prior to any cancellation or change of coverage.
- (e) The Contractor will, upon request, provide UNICEF with satisfactory evidence of the insurance required under this Article 4.6.
- Compliance with the insurance requirements of the Contract will not limit the Contractor's liability either under the Contract or otherwise.

Liability

- The Contractor will pay UNICEF promptly for all loss, destruction or damage to UNICEF's property caused by the Contractor's Personnel or sub-contractors in the performance of the Contract.
- Intellectual Property and Other Proprietary Rights; Data Protection; Confidentiality

Intellectual Property and Other Proprietary Rights

- 5.1 Unless otherwise expressly provided for in the Contract:
- (a) Subject to paragraph (b) of this Article 5.1, UNICEF will be entitled to all intellectual property and other proprietary rights including but not limited to patents, copyrights and trademarks, with regard to products, processes, inventions, ideas, know-how, documents, data and other materials ("Contract Materials") that (i) the Contractor develops for UNICEF under the Contract and which bear a direct relation to the Contract or (ii) are produced, prepared or collected in consequence of, or during the course of, the performance of the Contract. The term "Contract Materials" includes, but is not limited to, all maps, drawings, photographs, plans, reports, recommendations, estimates, documents developed or received by, and all other data compiled by or received by, the Contractor under the Contract. The Contractor acknowledges and agrees that Contract Materials constitute works made for hire for UNICEF. Contract Materials will be treated as UNICEF's Confidential Information and will be delivered only to authorized UNICEF officials on expiry or termination of the Contract.
- (b) UNICEF will not be entitled to, and will not claim any ownership interest in, any intellectual property or other proprietary rights of the Contractor that pre-existed the performance by the Contractor of its obligations under the Contract, or that the Contractor may develop or acquire, or may have developed or acquired, independently of the performance of its obligations under the Contract. The Contractor grants to UNICEF a perpetual, non-exclusive, royalty-free license to use such intellectual property or other proprietary rights solely for the purposes of and in accordance with the requirements of the Contract.
- At UNICEF's request, the Contractor will take all necessary steps, execute all necessary documents and generally assist in securing such proprietary rights and transferring them (or, in the case, intellectual property referred to in paragraph (b) above, licensing) them to UNICEF in compliance with the requirements of the applicable law and of the Contract.

#### Confidentiality

Confidential Information that is considered proprietary by either Party or that is delivered or disclosed by one Party ("Discloser") to the other Party ("Recipient") during the course of performance of the Contract or in connection with the subject matter of the Contract will be held in confidence by the Recipient. The Recipient will use the same care and discretion to avoid disclosure of the

will use the Discloser's Confidential Information solely for the purpose for which it was disclosed to the Recipient. The Recipient will not disclose the Discloser's Confidential Information to any other

- except to those of its Affiliates, employees, officials, representatives, agents and sub-contractors who have a need to know such Confidential Information for purposes of performing obligations under the Contract: or
- (b) unless the Confidential Information (i) is obtained by the Recipient from a third party without restriction; (ii) is disclosed by the Discloser to a third party without any obligation of confidentiality; (iii) is known by the Recipient prior to disclosure by the Discloser; or (iv) at any time is developed by the Recipient completely independently of any disclosures under the Contract.
- If the Contractor receives a request for disclosure of UNICEF's Confidential Information pursuant to any judicial or law enforcement process, before any such disclosure is made, the Contractor (a) will give UNICEF sufficient notice of such request in order to allow UNICEF to have a reasonable opportunity to secure the intervention of the relevant national government to establish protective measures or take such other action as may be appropriate and (b) will so advise the relevant authority that requested disclosure. UNICEF may disclose the Contractor's Confidential Information to the extent required pursuant to resolutions or regulations of its governing bodies.
- The Contractor may not communicate at any time to any other person, Government or authority external to UNICEF, any information known to it by reason of its association with UNICEF that has not been made public, except with the prior written authorization of UNICEF; nor will the Contractor at any time use such information to private advantage.

Data Protection and Security

- The Parties agree that, as between them, all UNICEF Data, together with all rights (including intellectual property and proprietary rights), title and interest to such UNICEF Data, will be the exclusive property of UNICEF, and the Contractor has a limited, nonexclusive license to access and use the UNICEF Data as provided in the Contract solely for the purpose of performing its obligations under the Contract. Except for the foregoing license, the Contractor will have no other rights, whether express or implied, in or to any UNICEF Data or its content.
- The Contractor confirms that it has a data protection policy in place that meets all applicable data protection standards and legal requirements and that it will apply such policy in the collection, storage, use, processing, retention and destruction of UNICEF Data. The Contractor will comply with any guidance or conditions on access and disclosure notified by UNICEF to Contractor in respect of UNICEF Data.
- The Contractor will use its reasonable efforts to ensure the logical segregation of UNICEF Data from other information to the fullest extent possible. The Contractor will use safeguards and controls (such as administrative, technical, physical, procedural and security infrastructures, facilities, tools, technologies, practices and other protective measures) that are necessary and sufficient to meet the Contractor's confidentiality obligations in this Article 5 as they apply to UNICEF Data. At UNICEF's request, the Contractor will provide UNICEF with copies of the applicable policies and a description of the safeguards and controls that the Contractor uses to fulfil its obligations under this Article 5.7; provided that any such policies and description provided by the Contractor will be treated as the Contractor's Confidential Information under the Contract, UNICEF may assess the effectiveness of these safeguards, controls and protective measures and, at UNICEF's request, the Contractor will provide its full cooperation with any such assessment at no additional cost or expense to UNICEF. The Contractor will not, and will ensure that its Personnel will not, transfer, copy, remove or store UNICEF Data from a UNICEF location, network or system without the prior written approval of an authorized official of UNICEF.
- Except as otherwise expressly stated in the Contract or with UNICEF's express prior written consent, the Contractor will not install any application or other software on any UNICEF device, network or system. The Contractor represents and warrants to UNICEF that the Services and Deliverables provided under the Contract will not contain any Disabling Code, and that UNICEF will not otherwise receive from the Contractor any Disabling Code in the performance of the Contract. Without prejudice to UNICEF's other rights and remedies, if a Disabling Code is identified, the Contractor, at its sole cost and expense, will take all steps necessary to: (a) restore and/or reconstruct any and all UNICEF Data lost by UNICEF and/or End Users as a result of Disabling Code; (b) furnish to UNICEF a corrected version of the Services without the presence of Disabling Codes; and (c) as needed, re-implement the Services.



5.9 In the event of any Security Incident, the Contractor will, as soon as possible following the Contractor's discovery of such Security Incident and at its sole cost and expense: (a) notify UNICEF of such Security Incident and of the Contractor's proposed remedial actions; (b) implement any and all necessary damage mitigation and remedial actions; and (c) as relevant, restore UNICEF's and, as directed by UNICEF, End Users' access to the Services. The Contractor will keep UNICEF reasonably informed of the progress of the Contractor's implementation of such damage mitigation and remedial actions. The Contractor, at its sole cost and expense, will cooperate fully with UNICEF's investigation of, remediation of, and/or response to any Security Incident. If the Contractor fails to resolve, to UNICEF's reasonable satisfaction, any such Security Incident, UNICEF can terminate the Contract with immediateeffect.

#### Service Providers and Sub-Contractors

5.10 The Contractor will impose the same requirements relating to data protection and non-disclosure of Confidential Information, as are imposed upon the Contractor itself by this Article 5 of the Contract, on its service providers, subcontractors and other third parties and will remain responsible for compliance with such requirements by its service providers, subcontractors and other third parties.

#### End of Contract

- 5.11 Upon the expiry or earlier termination of the Contract, the Contractor will:
- (a) return to UNICEF all of UNICEF's Confidential Information, including, but not limited to, UNICEF Data, or, at UNICEF's option, destroy all copies of such information held by the Contractor or its sub-contractors and confirm such destruction to UNICEF in writing; and
- (b) will transfer to UNICEF all intellectual and other proprietary information in accordance with Article 5.1(a).
- 6. Termination; Force Majeure

#### Termination by Either Party for Material Breach

6.1 If one Party is in material breach of any of its obligations under the Contract, the other Party can give it written notice that within thirty (30) days of receiving such notice the breach must be remedied (if such breach is capable of remedy). If the breaching Party does not remedy the breach within the thirty (30) days' period or if the breach is not capable of remedy, the non-breaching Party can terminate the Contract. The termination will be effective thirty (30) days after the non-breaching Party gives the breaching Party written notice of termination. The initiation of conciliation or arbitral proceedings in accordance with Article 9 (Privileges and Immunities; Settlement of Disputes) below will not be grounds for termination of the Contract.

#### Additional Termination Rights of UNICEF

- 6.2 In addition to the termination rights under Article 6.1 above, UNICEF can terminate the Contract with immediate effect upon delivery of a written notice of termination, without any liability for termination charges or any other liability of any kind:
- (a) in the circumstances described in, and in accordance with. Article 7 (Ethical Standards); or
- (b) if the Contractor breaches any of the provisions of Articles 5.2-5.11 (Confidentiality; Data Protection and Security); or
- (c) if the Contractor (i) is adjudged bankrupt, or is liquidated, or becomes insolvent, or applies for a moratorium or stay on any payment or repayment obligations, or applies to be declared insolvent, (ii) is granted a moratorium or a stay, or is declared insolvent, (iii) makes an assignment for the benefit of one or more of its creditors, (iv) has a receiver appointed on account of the insolvency of the Contractor, (v) offers a settlement in lieu of bankruptcy or receivership or (vi) has become, in UNICEF's reasonable judgment, subject to a materially adverse change in its financial condition that threatens to substantially affect the ability of the Contractor to perform any of its obligations under the Contract.
- 6.3 In addition to the termination rights under Article 6.1 and Article 6.2 above, UNICEF can terminate the Contract at any time by providing written notice to the Contractor in any case in which UNICEF's mandate applicable to the performance of the Contract or UNICEF's funding applicable to

the Contract is curtailed or terminated, whether in whole or in part. UNICEF can also terminate the Contract on sixty (60) day's written notice to the Contractor without having to provide any justification.

- 6.4 As soon as it receives a notice of termination from UNICEF, the Contractor will take immediate steps to bring the performance of any obligations under the Contract to a close in a prompt and orderly manner, and in doing so, reduce expenses to a minimum, and will not undertake any further or additional commitments as of and following the date it receives the termination notice. In addition, the Contractor will take any other action that may be necessary, or that UNICEF may direct in writing, in order to minimise losses or protect and preserve any property, whether tangible or intangible, related to the Contract that is in the possession of the Contractor and in which UNICEF has or may be reasonably expected to acquire an interest.
- 6.5 If the Contract is terminated by either Party, the Contractor will immediately deliver to UNICEF any finished work which has not been delivered and accepted prior to the receipt of a notice of termination, together with any data, materials or work-in-process related specifically to the Contract. If UNICEF obtains the assistance of another party to continue the Services or complete any unfinished work, the Contractor will provide its reasonable cooperation to UNICEF and such party in the orderly migration of Services and transfer of any Contract-related data, materials and work-in-process. The Contractor will at the same time return to UNICEF all of UNICEF's Confidential Information and will transfer to UNICEF all intellectual and other proprietary information in accordance with Article 5.
- 6.6 If the Contract is terminated by either Party no payment will be due from UNICEF to the Contractor except for Services and Deliverables provided to UNICEF's satisfaction in accordance with the Contract, but only if such Services and Deliverables were required or requested before the Contractor's receipt of the notice of termination or, in the case of termination by the Contractor, the effective date of such termination. The Contractor will have no claim for any further payment beyond payments in accordance with this Article 6.6, but will remain liable to UNICEF for all loss or damages which may be suffered by UNICEF by reason of the Contractor's default (including but not limited to cost of the purchase and delivery of replacement or substitute Services or Deliverables).
- 6.7 The termination rights in this Article 6 are in addition to all other rights and remedies of UNICEF under the Contract.

#### Force Majeure

6.8 If one Party is rendered permanently unable, wholly, or in part, by reason of force majeure to perform its obligations under the Contract, the other Party may terminate the Contract on the same terms and conditions as are provided for in Article 6.1 above, except that the period of notice will be seven (7) days instead of thirty (30) days. "Force majeure" means any unforeseeable and irresistible events arising from causes beyond the control of the Parties, including acts of nature, any act of war (whether declared or not), invasion, revolution, insurrection, terrorism or other acts of a similar nature or force. "Force majeure" does not include (a) any event which is caused by the negligence or intentional action of a Party; (b) any event which a diligent party could reasonably have been expected to take into account and plan for at the time the Contract was entered into; (c) the insufficiency of funds, inability to make any payment required under the Contract, or any economic conditions, including but not limited to inflation, price escalations, or labour availability; or (d) any event resulting from harsh conditions or logistical challenges for the Contractor (including civil unrest) associated with locations at which UNICEF is operating or is about to operate or is withdrawing from, or any event resulting from UNICEF's humanitarian, emergency, or similar response operations.

#### Ethical Standards

- 7.1 Without limiting the generality of Article 2 above, the Contractor will be responsible for the professional and technical competence of its Personnel including its employees and will select, for work under the Contract, reliable individuals who will perform effectively in the implementation of the Contract, respect the local laws and customs, and conform to a high standard of moral and ethical conduct.
- 7.2 (a) The Contractor represents and warrants that no official of UNICEF or of any United Nations System organisation has received from or on behalf of the Contractor, or will be offered by or on behalf of the Contractor, any direct or indirect benefit in connection with the Contract, including the award of the Contract to the Contractor. Such direct or indirect benefit includes, but is not limited to, any gifts, favours or hospitality.
  - The Contractor represents and warrants that the following requirements with regard to former



UNICEF officials have been complied with and will be complied with:

- (i) During the one (1) year period after an official has separated from UNICEF, the Contractor may not make a direct or indirect offer of employment to that former UNICEF official if that former UNICEF official was, during the three years prior to separating from UNICEF, involved in any aspect of a UNICEF procurement process in which the Contractor has participated.
- (ii) During the two (2) year period after an official has separated from UNICEF, that former official may not, directly or indirectly on behalf of the Contractor, communicate with UNICEF, or present to UNICEF, about any matters that were within such former official's responsibilities while at UNICEF.
- (c) The Contractor further represents that, in respect of all aspects of the Contract (including the award of the Contract by UNICEF to the Contractor and the selection and awarding of sub-contracts by the Contractor), it has disclosed to UNICEF any situation that may constitute an actual or potential conflict of interest or could reasonably be perceived as a conflict of interest.
- 7.3 The Contractor further represents and warrants that neither it nor any of its Affiliates, or Personnel or directors, is subject to any sanction or temporary suspension imposed by any United Nations System organisation or other international inter-governmental organisation. The Contractor will immediately disclose to UNICEF if it or any of its Affiliates or Personnel or directors, becomes subject to any such sanction or temporary suspension during the term of the Contract.
- 7.4 The Contractor will (a) observe the highest standard of ethics; (b) use its best efforts to protect UNICEF against fraud, in the performance of the Contract; and (c) comply with the applicable provisions of UNICEF's Policy Prohibiting and Combatting Fraud and Corruption. In particular, the Contractor will not engage, and will ensure that its Personnel, agents and sub-contractors do not engage, in any corrupt, fraudulent, coercive, collusive or obstructive conduct as such terms are defined in UNICEF's Policy Prohibiting and Combatting Fraud and Corruption.
- 7.5 The Contractor will, during the term of the Contract, comply with (a) all laws, ordinances, rules and regulations bearing upon the performance of its obligations under the Contract and (b) the standards of conduct required under the UN Supplier Code of Conduct (available at the United Nations Global Marketplace website www.ungm.org).
- 7.6 The Contractor further represents and warrants that neither it nor any of its Affiliates is engaged, directly or indirectly, (a) in any practice inconsistent with the rights set out in the Convention on the Rights of the Child, including Article 32, or the International Labour Organisation's Convention Concerning the Prohibition and Immediate Action for the Elimination of the Worst Forms of Child Labour, No. 182 (1999); or (b) in the manufacture, sale, distribution, or use of anti-personnel mines or components utilised in the manufacture of anti-personnel mines.
- 7.7 The Contractor represents and warrants that it has taken and will take all appropriate measures to prevent sexual exploitation or abuse of anyone by its Personnel including its employees or any persons engaged by the Contractor to perform any services under the Contract. For these purposes, sexual activity with any person less than eighteen years of age, regardless of any laws relating to consent, will constitute the sexual exploitation and abuse of such person. In addition, the Contractor represents and warrants that it has taken and will take all appropriate measures to prohibit its Personnel including its employees or other persons engaged by the Contractor, from exchanging any money, goods, services, or other things of value, for sexual favours or activities or from engaging in any sexual activities that are exploitive or degrading to any person. This provision constitutes an essential term of the Contract and any breach of this representation and warranty will entitle UNICEF to terminate the Contract immediately upon notice to the Contractor, without any liability for termination charges or any other liability of any kind.
- 7.8 The Contractor will inform UNICEF as soon as it becomes aware of any incident or report that is inconsistent with the undertakings and confirmations provided in this Article 7.
- 7.9 The Contractor acknowledges and agrees that each of the provisions in this Article 7 constitutes an essential term of the Contract.
- (a) UNICEF will be entitled, in its sole discretion and at its sole choice, to suspend or terminate the Contract and any other contract between UNICEF and the Contractor with immediate effect upon written notice to the Contractor if: (i) UNICEF becomes aware of any incident or report that is inconsistent with, or the Contractor breaches any of, the undertakings and confirmations provided in this Article 7 or the equivalent provisions of any contract between UNICEF and the Contractor or any of the Contractor's Affiliates, or (ii) the Contractor or any of its Affiliates, or Personnel or

directors becomes subject to any sanction or temporary suspension described in Article 7.3 during the term of the Contract.

- (b) In the case of suspension, if the Contractor takes appropriate action to address the relevant incident or breach to UNICEF's satisfaction within the period stipulated in the notice of suspension, UNICEF may lift the suspension by written notice to the Contractor and the Contract and all other affected contracts will resume in accordance with their terms. If, however, UNICEF is not satisfied that the matters are being adequately addressed by the Contractor, UNICEF may at any time, exercise its right to terminate the Contract and any other contract between UNICEF and the Contractor.
- (c) Any suspension or termination under this Article 7 will be without any liability for termination or other charges or any other liability of any kind.
- 8. Full Cooperation with Audits And Investigations
- 8.1 From time to time, UNICEF may conduct inspections, post-payment audits or investigations relating to any aspect of the Contract including but not limited to the award of the Contract, the way in which the Contract operates or operated, and the Parties' performance of the Contract generally and including but not limited to the Contractor's compliance with the provisions of Article 7 above. The Contractor will provide its full and timely cooperation with any such inspections, post-payment audits or investigations, including (but not limited to) making its Personnel and any relevant data and documentation available for the purposes of such inspections, post-payment audits or investigations, at reasonable times and on reasonable conditions, and granting UNICEF and those undertaking such inspections, post-payment audits or investigations access to the Contractor's premises at reasonable times and on reasonable conditions in connection with making its Personnel and any relevant data and documentation available. The Contractor will require its sub-contractors and its agents, including, but not limited to, the Contractor's attorneys, accountants or other advisers, to provide reasonable cooperation with any inspections, post-payment audits or investigations carried out by UNICEF.
- 9. Privileges and Immunities; Settlement of Disputes
- 9.1 Nothing in or related to the Contract will be deemed a waiver, express or implied, deliberate or inadvertent, of any of the privileges and immunities of the United Nations, including UNICEF and its subsidiary organs, under the Convention on the Privileges and Immunities of the United Nations, 1946, or otherwise.
- 9.2 The terms of the Contract will be interpreted and applied without application of any system of national or sub-national law.
- 9.3 The Parties will use their best efforts to settle amicably any dispute, controversy or claim arising out of, or relating to the Contract. Where the Parties wish to seek such an amicable settlement through conciliation, the conciliation will take place in accordance with the UNCITRAL Conciliation Rules then in force, or according to such other procedure as may be agreed between the Parties. Any dispute, controversy or claim between the Parties arising out of the Contract which is not resolved within ninety (90) days after one Party receives a request from the other Party for amicable settlement can be referred by either Party to arbitration. The arbitration will take place in accordance with the UNCITRAL Arbitration Rules then in force. The venue of the arbitration will be New York, NY, USA. The decisions of the arbitral tribunal will be based on general principles of international commercial law. The arbitral tribunal will have no authority to award punitive damages. In addition, the arbitral tribunal will have no authority to award interest in excess of the London Inter-Bank Offered Rate (LIBOR) then prevailing and any such interest will be simple interest only. The Parties will be bound by any arbitration award rendered as a result of such arbitration as the final adjudication of any such controversy, claim or dispute.
- 10. Notices
- 10.1 Any notice, request or consent required or permitted to be given or made pursuant to the Contract will be in writing, and addressed to the persons listed in the Contract for the delivery of notices, requests or consents. Notices, requests or consents will be delivered in person, by registered mail, or by confirmed email transmission. Notices, requests or consents will be deemed received upon delivery (if delivered in person), upon signature of receipt (if delivered by registered mail) or twenty-four (24) hours after confirmation of receipt is sent from the addressee's email address (if delivered by confirmed email transmission).
- 10.2 Any notice, document or receipt issued in connection with the Contract must be consistent with the terms and conditions of the Contract and, in case of any ambiguity, discrepancy or inconsistency, the terms and conditions of the Contract will prevail.



10.3 All documents that comprise the Contract, and all documents, notices and receipts issued or provided pursuant to or in connection with the Contract, will be deemed to include, and will be interpreted and applied consistently with, the provisions of Article 9 (Privileges and Immunities; Settlement of Disputes).

#### 11. Other Provisions

- 11.1 The Contractor acknowledges UNICEF's commitment to transparency as outlined in UNICEF's Information Disclosure Policy and confirms that it consents to UNICEF's public disclosure of the terms of the Contract should UNICEF so determine and by whatever means UNICEF determines.
- 11.2 The failure of one Party to object to or take affirmative action with respect to any conduct of the other Party which is in violation of the terms of the Contract will not constitute and will not be construed to be a waiver of the violation or breach, or of any future violation, breach or wrongful conduct.
- 11.3 The Contractor will be considered as having the legal status of an independent contractor as regards UNICEF. Nothing contained in the Contract will be construed as making the Parties principal and agent or joint venturers.
- 11.4 The Contractor will not, without the prior written consent of UNICEF, assign, transfer, pledge or make other disposition of the Contract, or of any part of the Contract, or of any of the Contractor's rights or obligations under the Contract.
- 11.5 No grant of time to the Contractor to cure a default under the Contract, nor any delay or failure by UNICEF to exercise any other right or remedy available to UNICEF under the Contract, will be deemed to prejudice any rights or remedies available to UNICEF under the Contract or constitute a waiver of any rights or remedies available to UNICEF under the Contract.
- 11.6 The Contractor will not seek or file any lien, attachment or other encumbrance against any monies due or to become due under the Contract, and will not permit any other person to do so. It will immediately remove or obtain the removal of any lien, attachment or other encumbrance that is secured against any monies due or to become due under the Contract.
- 11.7 The Contractor will not advertise or otherwise make public for purposes of commercial advantage or goodwill that it has a contractual relationship with UNICEF or the United Nations. Except as regards references to the name of UNICEF for the purposes of annual reports communication between the Parties and between the Contractor and its Personnel and sub-contractors, the Contractor will not, in any manner whatsoever use the name, emblem or official seal of UNICEF or the United Nations, or any abbreviation of the name of the United Nations, in connection with its business or otherwise without the prior written permission of UNICEF.
- 11.8 The Contract may be translated into languages other than English. The translated version of the Contract is for convenience only, and the English language version will govern in all circumstances.
- 11.9 No modification or change in the Contract, and no waiver of any of its provisions, nor any additional contractual relationship of any kind with the Contractor will be valid and enforceable against UNICEF unless set out in a written amendment to the Contract signed by an authorised official of UNICEF.
- 11.10 The provisions of Articles 2.14. 3.8, 3.9, 4, 5, 7, 8, 9, 11.1, 11.2 and 11.7 will survive provision of the Services and delivery of the Deliverables and the expiry or earlier termination of the Contract.